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Tex Heart Inst J. 2010; 37(4): 421–428. PMCID: PMC2929871

PMID: [20844614](https://pubmed.ncbi.nlm.nih.gov/20844614)

# Statins Do Not Decrease Small, Dense Low-Density Lipoprotein

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## Abstract

In an observational study, we examined the effect of statins on low-density-lipoprotein (LDL) subfractions.

Using density-gradient ultracentrifugation, we measured small, dense LDL density in 612 patients (mean age,  $61.7 \pm 12.6$  yr), some with and some without coronary artery disease, who were placed in a statin-treated group ( $n=172$ ) or a control group ( $n=440$ ) and subdivided on the basis of coronary artery disease status.

Total cholesterol, LDL cholesterol, apolipoprotein B, and the LDL cholesterol/apolipoprotein B ra‐ tio were significantly lower in the statin group. However, the proportion of small, dense LDL was higher in the statin group (42.9% ± 9.5% vs 41.3% ± 8.5%; *P*=0.046) and the proportion of large, buoyant LDL was lower (23.6% ± 7.5% vs 25.4% ± 7.9%; *P*=0.011). In the statin group, persons without coronary artery disease had higher proportions of small, dense LDL, and persons with coronary artery disease tended to have higher proportions of small, dense LDL.

Our study suggests that statin therapy—whether or not recipients have coronary artery diseasedoes not decrease the proportion of small, dense LDL among total LDL particles, but in fact in‐ creases it, while predictably reducing total LDL cholesterol, absolute amounts of small, dense LDL, and absolute amounts of large, buoyant LDL. If and when our observation proves to be repro‐ ducible in subsequent large-scale studies, it should provide new insights into small, dense LDL and its actual role in atherogenesis or the progression of atherosclerosis.

**Key words:** Antilipemic agents/therapeutic use, arteriosclerosis/blood, biological markers/blood, cholesterol, LDL/analysis/blood/classification/drug effects, coronary artery disease/prevention & control/therapy, hyperlipidemias/prevention & control, lipids, lipoproteins, LDL/blood/chemistry, particle size

The predominance of small, dense low-density lipoprotein (LDL) has been designated as an emerging cardiovascular risk factor by the National Cholesterol Education Program Adult Treatment Panel III. $^{\underline{1}}$  $^{\underline{1}}$  $^{\underline{1}}$  Griffin and colleagues $^{\underline{2}}$  $^{\underline{2}}$  $^{\underline{2}}$  showed that the predominance of small, dense LDL is associated with an increased risk of coronary artery disease (CAD). However, another study showed that LDL particle size is rarely a significant and independent predictor of CAD risk. $^3$  $^3$ Therefore, it is a matter of debate whether the apparent increase in atherogenic potential of small, dense LDL is a consequence of the broader pathophysiology of which these particles are a part for example, higher triglyceride (TG) levels, lower high-density-lipoprotein cholesterol levels, in‐ creased LDL particle number, obesity, insulin resistance, diabetes mellitus, and metabolic syndrome. $^4$  $^4$  Statins are potent inhibitors of hydroxy-methyl-glutaryl-coenzyme A reductase (the rate-limiting enzyme in hepatic cholesterol synthesis) and are the main drugs of choice in the treatment of elevated plasma LDL-cholesterol (LDL-C) concentrations. Several large clinical stud‐ ies have shown that lipid-lowering therapy is effective in the primary and secondary prevention of cardiovascular disease. $5.6$  One mechanism that prevents cardiovascular disease when statins are used is the reduction of total LDL particle concentration, including small, dense LDL and large, buoyant LDL. $^{\mathbb{Z}}$  Statins potentially lower all LDL subclasses (large, medium, and small particles); therefore, the net effect of statins on LDL particle size is often null or, at most, only moderate. $8$ Although statins clearly decrease total LDL particle concentration, it is unclear whether statins can affect the proportion of small, dense LDL.

In addition, there is still controversy regarding whether statins decrease the small, dense LDL sub‐ fraction and increase the LDL peak particle size. $\frac{9-11}{1}$  High-carbohydrate diets in Korea possibly contribute to higher TG levels and to the formation of small LDL particles. $^{12}$  $^{12}$  $^{12}$  However, there have been no reports regarding the effect of statins on the proportion of small, dense LDL in the Korean population. Therefore, we examined the effect of statins on LDL subfractions and on the proportion of small, dense LDL in this population.

## Study Population and Methods

The study was conducted at the Cardiovascular Center of Korea University's Guro Hospital in Seoul, Korea. We selected the study population from patients who visited the Cardiovascular Center because of chest symptoms or for preoperative evaluation. Exclusion criteria were as fol‐ lows: acute coronary syndrome or prior myocardial infarction, cardiomyopathy, more than mild valvular disease, atrial fibrillation, congestive heart failure (left ventricular ejection fraction, <0.40), previous history of stent implantation or coronary artery bypass grafting, cerebrovascular disease, or renal insufficiency (creatinine level, >2 mg/dL).

The study population comprised 612 patients who did and did not have CAD. The mean age of the 279 men and 333 women was  $60.3 \pm 12.7$  yr. Seventy-seven persons had diabetes mellitus (12.6%), 273 had hypertension (44.6%), and 125 were current smokers (20.4%). We performed coronary angiography in 321 patients whose chest symptoms suggested angina pectoris and in patients whose preoperative evaluations suggested CAD consequent to demonstrable ischemia on noninvasive testing. All patients who underwent coronary angiography gave written informed consent. Our study was approved by the local ethics committee.

We divided the study participants into 2 groups on the basis of statin therapy. The statin-treated group included 172 individuals who had been prescribed statins for at least 6 weeks before we sampled their blood for lipid profiling and the measurement of small, dense LDL density. These patients had taken atorvastatin, simvastatin, lovastatin, pravastatin, fluvastatin, pitavastatin, or ro‐ suvastatin. The mean duration of statin therapy was  $11.03 \pm 5.32$  wk. The control group (n=440) had no history of statin use or the use of other medications that affect lipoprotein size and density (such as fibrates or niacin). No one in either group had a total cholesterol (TC) level more than 350 mg/dL or less than 100 mg/dL, or a TG level more than 400 mg/dL or less than 30 mg/dL.

We then subdivided each group on the basis of CAD status, determined the proportions of small, dense LDL, and examined other lipid profiles. Coronary artery disease was defined as significant coronary stenosis (>50% by quantitative coronary angiography) on a coronary angiogram. In the statin-treated group, 119 persons had CAD and 53 did not; in the control group, 130 had CAD and 310 did not. We compared the change in LDL subfraction in the statin-treated group to that of the control group. We also compared the CAD risk of each group in accordance with average score on the Framingham risk assessment $^{\underline{13}}$  $^{\underline{13}}$  $^{\underline{13}}$  and the prevalence of metabolic syndrome. Metabolic syndrome was defined in accordance with the National Cholesterol Education Program Adult Treatment Panel III.<sup>[1](#page-9-0)</sup>

## Biochemical Measurements of Lipid Profiles, Insulin, and Glucose

Commercially available assay kits were used for biochemical measurements. Concentrations of TC, high-density-lipoprotein cholesterol, TG, and LDL-C were measured with use of an enzymatic assay (Roche Diagnostics GmbH; Mannheim, Germany). Serum apolipoprotein (apo) B and apo A-1 levels were determined by use of an immunoturbidometric assay (Roche Diagnostics). Fasting plasma insulin levels were measured by use of a double-antibody radioimmunoassay (Beckman Coulter, Inc; Brea, Calif). Glucose concentrations were measured with use of an enzymatic assay kit. The homeostasis model assessment–insulin resistance (HOMA–IR) value was calculated by the following formula: (fasting plasma insulin [µIU/mL] × fasting plasma glucose [mmol/L])/22.5. $\frac{14}{3}$  $\frac{14}{3}$  $\frac{14}{3}$ 

### Low-Density-Lipoprotein Subfractions and Particle Sizes

We measured LDL peak particle diameter by means of gradient gel electrophoresis, and small, dense LDL density by means of density-gradient ultracentrifugation. To evaluate LDL particle size and the relative proportions of LDL I, LDL II, and LDL III, we used the method described by Griffin and colleagues $^{\underline{15}}$  $^{\underline{15}}$  $^{\underline{15}}$  and isolated LDL from serum by ultracentrifugation, at a density of 1.019 to 1.063 g/mL. Electrophoresis was performed using an LPE-4003 Pore Gradient Lipoprotein

Electrophoresis System (C.B.S. Scientific Company, Inc.; Del Mar, Calif) with commercially available, non-denatured 2%–16% polyacrylamide gels. $^{\underline{16}}$  The buffer system within the gel apparatus was tris(hydroxymethyl)aminomethane base (90 mM), boric acid (80 mM), and EDTA (2.5 mM; pH, 8.3). Before electrophoresis, the gels were pre-equilibrated at 70 V for 20 min. Electrophoresis was conducted at 20 V for 20 min, at 70 V for 30 min, and at 120 V for 24 hr. The gels were then fixed for 30 min in sulfosalicylic acid (10%  $w/v$ ) and stained with Coomassie Blue R-250 (0.1% w/v) for 1 hr. The gels were de-stained in 7.5[%](#page-10-10) acetic acid for 24 hr and then were standardized against the following markers: polystyrene latex beads (36 nm), thyroglobulin (17 nm), apoferritin (12.2 nm), and catalase (10.4 nm). The gels were scanned with use of a Molecular Imager GS-800™ Calibrated Densitometer (Bio-Rad Laboratories, Inc.; Hercules, Calif).

The LDL particle size was reported as LDL peak particle diameter and LDL mean particle diame‐ ter. The LDL peak particle diameter was reported as the size of the major LDL fraction. The LDL mean particle diameter was calculated to yield the mean diameter across the entire LDL profile. To achieve this, the peak area under the curve (volume) was calculated. For each portion, the par‐ ticle size was calculated using the known reference sizes of LDL I, LDL II, and LDL III. Then, the frequency for each particle was calculated (size × volume). Finally, the sum of the frequencies di‐ vided by the sum of the volumes yielded the mean particle diameter. Subjects were classified into 2 groups on the basis of distinct LDL subclass patterns. Pattern A was defined as an LDL subclass pattern with the major gradient gel peak at a particle diameter of >25.5 nm and the presence of a minor peak of smaller LDL particles. Pattern B had the major peak at a particle diameter of ≤25.5 nm, with skewing of the curve toward larger particle diameters. $\frac{15}{15}$  $\frac{15}{15}$  $\frac{15}{15}$ 

Because a single molecule of apo B is found in every LDL particle, serum apo B concentrations equate to the LDL particle concentration. $^{17}$  $^{17}$  $^{17}$  Therefore, we regarded the product of the serum apo B multiplied by the percentage of small, dense LDL as the absolute amount of small, dense LDL; and we regarded the product of the serum apo B multiplied by the percentage of large, buoyant LDL as the absolute amount of large, buoyant LDL.

## Statistical Analysis

Statistical analysis was performed with use of SPSS software version 10.0 (SPSS Inc.; Chicago, Ill). Continuous variables were expressed as mean ± SD, and categorical variables were reported as number and percentage. Because all of the LDL variables were normally distributed, the 2-tailed Student *t* test was used to analyze the LDL data. The Mann-Whitney U test was used to analyze the TG variables, which were not normally distributed. The  $\chi^2$  $\chi^2$  test was used to analyze categorical variables. The Pearson correlation was used to analyze the association between the proportion of small, dense LDL and LDL-C. A *P* value less than 0.05 was considered statistically significant. The data conformed to each test by which they were analyzed.

## Results

[Table](https://www.ncbi.nlm.nih.gov/pmc/articles/PMC2929871/table/t1-5/) I shows the differences between the groups in the proportion of small, dense LDL and other lipid profiles between the statin-treated and control groups. Coronary artery disease was more common in the statin group than in the control group. The TC, LDL-C, and apo B levels, and the

LDL-C/apo B ratio and apo B/apo A ratio, were lower in the statin group. No significant differ‐ ences in TG, HOMA–IR, LDL peak particle size, or C-reactive protein (CRP) were found between the groups. The absolute amounts of small, dense LDL and large, buoyant LDL were significantly lower in the statin group. However, in comparison with the control group, the proportion of small, dense LDL was significantly higher in the statin group and the proportion of large, buoyant LDL was lower. Neither the number of study participants with metabolic syndrome nor the average score on the Framingham risk assessment was significantly different between the 2 groups.

TABLE I. Differences in the Proportion of Small, Dense LDL and Other Lipid Profiles between the Control and Statin-Treated Groups



[ABLE I. Differences in the Proportion of Small, Dense LDL and Other Lipid Profiles between the Control and

ous variables except those for triglycerides were analyzed using the Student t test. A P value <0.05 was considered statistically significant

\*Triglyceride continuous variables were analyzed using the Mann-Whitney U test.

#### Study Participants without Coronary Artery Disease

In participants who did not have CAD, the TC, LDL-C, and apo B levels and the LDL-C/apo B and apo B/apo A ratios were significantly lower in the statin-treated group than in the control group ( [Table](https://www.ncbi.nlm.nih.gov/pmc/articles/PMC2929871/table/t2-5/) II). No significant differences in TG, HOMA–IR, LDL peak particle size, or CRP were found be‐ tween the groups. The absolute amounts of small, dense LDL and of large, buoyant LDL were significantly lower in the statin group than in the control group. However, the proportion of small,

dense LDL was significantly higher in the statin group, and the proportion of large, buoyant LDL was lower. Neither the number of study participants with metabolic syndrome nor the average score on the Framingham risk assessment was significantly different between the 2 groups.

TABLE II. Differences in the Proportion of Small, Dense LDL and in Other Lipid Profiles between the Control and Statin-Treated Groups, in the Presence and Absence of CAD



LDL = low-density lipoprotein

Continuous variables are expressed as mean ± SD and categorical variables are expressed as number and percentage. All continuous variables except those for triglycerides were analyzed using the Student t test. A P value <0.05 was considered statistically significant \*Triglyceride continuous variables were analyzed using the Mann-Whitney U test.

#### Study Participants with Coronary Artery Disease

In participants who had CAD, the TC, LDL-C, and apo B levels and the LDL-C/apo B ratio were sig-nificantly lower in the statin-treated group than in the control group ([Table](https://www.ncbi.nlm.nih.gov/pmc/articles/PMC2929871/table/t2-5/) II). There were no significant differences in TG, HOMA–IR, LDL peak particle size, or CRP between the 2 groups. The ab‐ solute amount of small, dense LDL had a tendency to be lower in the statin group (although not to the level of statistical significance), and the absolute amount of large, buoyant LDL was signifi‐ cantly lower in the statin group. However, in comparison with the control group, the proportion of small, dense LDL had a tendency to be higher in the statin group and the proportion of large, buoyant LDL had a tendency to be lower. In contrast with participants in the statin group who did not have CAD, there was no statistically significant difference (NS); however, in comparison with

the control group, the proportion of small, dense LDL had a tendency to be higher in the statin group (NS), and the proportion of large, buoyant LDL showed a tendency to be lower (NS). The average score on the Framingham risk assessment was significantly lower in the statin group than in the control group. The number of patients with metabolic syndrome was not significantly differ‐ ent between the 2 groups.

[Figures](https://www.ncbi.nlm.nih.gov/pmc/articles/PMC2929871/figure/f1-5/) 1 and [2](https://www.ncbi.nlm.nih.gov/pmc/articles/PMC2929871/figure/f2-5/) show that the LDL-C concentration did not correlate with the proportion of small, dense LDL, regardless of statin treatment.



Fig. 1 Correlation between the proportion of small, dense low-density-lipoprotein (LDL) and LDL cholesterol in all study participants in **A**) the control group and **B**) the statin-treated group. The LDL-cholesterol concentration did not correlate with the proportion of small, dense LDL, regardless of statin treatment. *P* < 0.05 was considered statistically significant.



Fig. 2 Correlation between the proportion of small, dense low-density-lipoprotein (LDL) and LDL cholesterol in participants without coronary artery disease (CAD) in **A**) the control group and **B**) the statin-treated group; and correlation between the proportion of small, dense LDL and LDL cholesterol in persons with coronary artery dis‐ ease in **C**) the control group and **D**) the statin-treated group. The LDL-cholesterol concentration did not correlate with the proportion of small, dense LDL, regardless of statin treatment. *P* < 0.05 was considered statistically significant.

#### **Discussion**

In this study, we found that statin therapy might be associated with a higher proportion of small, dense LDL and a lower proportion of large, buoyant LDL, although statin therapy was clearly as‐ sociated with a decrease in the concentrations of TC, LDL-C, and apo B; in the apo B/apo A ratio; and in the absoluteamounts of small, dense LDL and large, buoyantLDL.

Many factors influence the LDL subfractions. Previous studies have shown that CRP, plasma fib‐ rinogen, HOMA–IR, body mass index, and metabolic syndrome can affect the proportion of small, dense LDL.<sup>18-22</sup> In our study, the proportion of small, dense LDL was higher and there were more patients with CAD in our statin group than in our control group ([Table](https://www.ncbi.nlm.nih.gov/pmc/articles/PMC2929871/table/t2-5/) II). Because the presence of CAD can be associated with the proportion of small, dense LDL, we analyzed the effect of statins on small, dense LDL subfractions in persons without CAD. Unexpectedly, in that analysis, the proportion of small, dense LDL was also significantly higher in patients who were treated with statins ([Table](https://www.ncbi.nlm.nih.gov/pmc/articles/PMC2929871/table/t2-5/) II). Moreover, there were no differences in CRP, plasma fibrinogen, HOMA–IR, body mass index, or metabolic syndrome between the statin and control groups ( $\overline{\text{Tables I}}$  $\overline{\text{Tables I}}$  $\overline{\text{Tables I}}$  and  $\overline{\text{II}}$ ). Therefore, we concluded that the increase of small, dense LDL proportion was influenced by statins but not by the other variables.

The exact mechanism by which statin therapy is associated with an increase in the proportion of small, dense LDL is unclear. One possible mechanism is that up-regulation of LDL receptor activity by statins decreases large, buoyant LDL more than small, dense LDL, because statins increase LDL receptor activity and because large, buoyant LDL is a better ligand for the LDL receptor than is small, dense LDL. $^{23}$  $^{23}$  $^{23}$  More than 90% of apo B is found on LDL particles, and therefore patients with small, dense LDL (which is relatively low in cholesterol) would be expected to have a low LDL-C/apo B ratio, as has been described previously. $^{17,24}$  $^{17,24}$  $^{17,24}$  The present study showed that statin therapy was associated with a greater decrease in apo B than in LDL-C, although in the group treated with statins, the levels of LDL-C and apo B were significantly lower than those in the con‐ trol group. This result suggests that statin therapy is associated with a decrease in total LDL-parti‐ cle concentration but with a higher proportion of small, dense LDL. In addition, these results sup‐ port a possibility that statin therapy increases the proportion of small, dense LDL, although controversy still exists as to whether or not statins decrease the small, dense LDL subfraction and in‐ crease the LDL peak particle size. $\frac{9-11}{2}$  The present study brings up a fundamental question regarding the actual role of small, dense LDL in atherosclerosis.

In the present study, the effect of statins on the LDL subfraction was weaker in patients with CAD than in those without CAD, which might be related to the following: first, as shown in [Table](https://www.ncbi.nlm.nih.gov/pmc/articles/PMC2929871/table/t1-5/) I, the Framingham risk score and LDL peak particle size were higher in the control group than in the statin group. These 2 variables can influence the LDL subfraction,  $\frac{8.22}{3}$  and, therefore, the effect of statins on the LDL subfraction could be changed by these variables. Second, because patients with CAD were fewer in number than were persons without CAD, there were no statistically significant differences in the effect of statins on the LDL subfraction in patients who had CAD. Had more par‐ ticipants been enrolled, we would have expected to obtain similar results.

The present study showed that LDL-C was not correlated with the proportion of small, dense LDL ([Figs.](https://www.ncbi.nlm.nih.gov/pmc/articles/PMC2929871/figure/f1-5/) 1 and [2](https://www.ncbi.nlm.nih.gov/pmc/articles/PMC2929871/figure/f2-5/)). These results are consistent with those of a previous study.<sup>[25](#page-11-1)</sup> Because the predominance of small, dense LDL has been accepted as a bona fide cardiovascular risk factor, $^{\underline 1}$  $^{\underline 1}$  $^{\underline 1}$  this result suggests that we should not estimate the risk of CAD from the LDL-C level alone.

As mentioned in the exclusion criteria, all persons with known CAD were excluded before the study began. However, in our study, the statin-treated group included more CAD patients than did the untreated group. A misleading inference would be that persons who are treated with statins develop more CAD. In our opinion, because the mean duration of the therapy in the statin-treated group was relatively short  $(11.03 \pm 5.32 \text{ wk})$ , statin therapy would not have affected the severity of CAD.

## Limitations of the Study

Our study had some limitations. First, we did not investigate other factors that are known to influ‐ ence the generation of small, dense LDL, such as hepatic lipase activity and cholesterol ester transfer protein (CETP) activity. The generation of small, dense LDL is associated with elevations in plasma TG levels, in hepatic lipase activity, and in CETP activity. $^{26}$  $^{26}$  $^{26}$  Triglyceride-enriched LDL is a good substrate for hepatic lipase. This LDL particle, through the action of hepatic lipase, loses the core TG and surface phospholipids and, in the process, is converted to a small, dense LDL. $\frac{27}{1}$  $\frac{27}{1}$  $\frac{27}{1}$  Since

LDL, including rich TG, cannot be absorbed well by the LDL receptor, this LDL is converted to small, dense LDL. Because we did not check the CETP activity in our study, we could not evaluate the relationship between the proportion of small, dense LDL and CETP activity affected by statins. Second, we did not directly check the concentrations of absolute LDL particles, of small, dense LDL, or of large, buoyant LDL. Had the absolute amounts of small, dense LDL and large, buoyant LDL concentrations been measured directly, more precise results could have been obtained. Third, since CAD status was defined in accordance with angiographic findings alone, it is possible that we overlooked patients with CAD who did not undergo catheterization. Fourth, we did not compare the effect of each statin on the small, dense LDL subfraction. Previous studies have shown that the effects of statins on small, dense LDL and LDL peak particle size vary according to the type of statin. $\frac{9-11}{2}$  However, when we divided subjects in accordance with the types of statins, each group was too small to analyze. Fifth, fewer patients were in our statin group than in our control group. This study was observational, and we could not divide the population into equal numbers. However, we expect that a randomized, well-controlled study would produce more exact results concerning the effect of statins on small, dense LDL.

### Conclusion

Whether or not individuals have been diagnosed with existing CAD, our study suggests that statin therapy does not decrease the proportion of small, dense LDL among total LDL particles, but in fact increases it, while expectedly reducing total LDL-C, absolute amounts of small, dense LDL, and absolute amounts of large, buoyant LDL. If and when our observation proves to be reproducible in large-scale studies, such studies should provide new insights into small, dense LDL and its ac‐ tual role in atherogenesis or the progression of atherosclerosis.

### Footnotes

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This work was supported by Korea University grant #R0800841.

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